

(please print on your letterhead)

## Standard Asset Allocation Model Change Instructions

Account Name: \_\_\_\_\_ Date: \_\_\_\_\_

Account Number: \_\_\_\_\_

Dear Sir or Madam,

Please use this letter as your instructions to change my Model Asset Allocation.

Attention Gemini Fund Services: Please update my Asset Allocation Model to:

- |                          |   |            |                                    |
|--------------------------|---|------------|------------------------------------|
| <input type="checkbox"/> | Capital Preservation Allocation:        | Model # 1  | 100% Core Fixed and 0% Core Equity |
| <input type="checkbox"/> | Balanced Income Allocation:             | Model # 2  | 80% Core Fixed and 20% Core Equity |
| <input type="checkbox"/> | Growth and Income Allocation:           | Model # 3  | 60% Core Fixed and 40% Core Equity |
| <input type="checkbox"/> | Balanced Growth Allocation:             | Model # 4  | 40% Core Fixed and 60% Core Equity |
| <input type="checkbox"/> | Growth Allocation:                      | Model # 5  | 20% Core Fixed and 80% Core Equity |
| <input type="checkbox"/> | Aggressive Growth Allocation:           | Model # 6  | 0%Core Fixed and 100% Core Equity  |
| <input type="checkbox"/> | Capital Preservation Allocation w/cash: | Model # 7  | 100% Core Fixed and 0% Core Equity |
| <input type="checkbox"/> | Balanced Income Allocation w/cash:      | Model # 8  | 80% Core Fixed and 20% Core Equity |
| <input type="checkbox"/> | Growth and Income Allocation w/cash:    | Model # 9  | 60% Core Fixed and 40% Core Equity |
| <input type="checkbox"/> | Balanced Growth Allocation w/cash:      | Model # 10 | 40% Core Fixed and 60% Core Equity |
| <input type="checkbox"/> | Growth Allocation w/cash:               | Model # 11 | 20% Core Fixed and 80% Core Equity |
| <input type="checkbox"/> | Aggressive Growth Allocation w/cash:    | Model # 12 | 0%Core Fixed and 100% Core Equity  |
| <input type="checkbox"/> | Capital Preservation Cash Allocation:   | Model #13  | 100% Money Market**                |

\*w/cash includes the 3% cash option

\*\* I understand that there will be no advisory fee charged while in this model.

Please check accordingly:

- Please reallocate my account to the model # \_\_\_\_\_ effective immediately.
- Please update my account to the model # \_\_\_\_\_ for all future contributions.

The Client's objective for this investment account has changed to match my selection above.

The new objective is: \_\_\_\_\_

Thank you for your prompt attention to this matter. (either the client or advisor can sign this LOI)

Client or Advisor Signature: \_\_\_\_\_

Advisor Name/Firm: \_\_\_\_\_

Advisor Phone Number: \_\_\_\_\_

Please fax the LOI directly to Gemini at Fax | 402.963.9094 or send it to Dunham & Associates Client Services and we can forward it.