DUPLICATE STATEMENT REQUEST FORM

DUNHAM & ASSOCIATES

Use this form to authorize Dunham to send duplicate statements, trade confirmations, and tax information for the account(s) referenced below to the party you name below. The person below may be a joint account owner or a person who is not an account owner. Your Interested Party does not have to be a financial professional. You do not need to submit a duplicate statement request form for your Financial Advisor on record.

Account Owner / Trustee Name ______________________

Account Title ______________________

Joint Account Owner / Trustee Name ______________________

Financial Advisor Name ______________________

Account Number(s) ______________________

1. Interested Party Information

Provide Dunham with all the information for your interested party below.

First Name	Middle Name	Last Name

Relationship to Owner	Company (if Applicable)

Mailing Address *(if different from Legal Address)*		
Mailing Address Line 2		
City	State / Province	Zip / Postal Code

2. Duplicate Materials

Check here to request that duplicates of trade confirmations, account statements, and tax information be sent to your interested party.

☐ Trade Confirmations

☐ Statements

☐ Tax Information

3. Remove Interested Party

Indicate here if you would like to remove an Interested Party that you have previously granted access to receiving duplicate account information.

☐ Remove ALL currently Interested Parties *(Removal of a joint account owner, trustee, or authorized account representative from receiving duplicate account information will not remove this person as an account owner, trustee, or authorized person from the account)*

☐ Remove only the Interested Party(ies) named below:

Name ______________________ Name ______________________

Name ______________________ Name ______________________

4. Required Signature(s)

ALL owners must read and sign. For additional owners, use a copy of this page.

X______________________ Signature of Owner / Trustee Date

X______________________ Signature of Owner / Trustee Date

Mail completed forms to Dunham & Associates, Attn: Client Services, PO BOX 910309, San Diego, CA 92191